



Docket No.: SHO-0023  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Masaaki Asonuma

Application No.: 10/697,249

Confirmation No.: 9039

Filed: October 31, 2003

Art Unit: N/A

For: GAMING MACHINE

Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed August 11, 2004, Applicant respectfully submits Part 2 Copy of Notice, attached hereto is a duly executed Declaration and Power of Attorney along with a Petition Under 37 C.F.R. §1.47 To Accept Declaration When Inventor Refuses To Join In Application, an English Language Specification, and Certificate of Translation with the statement that the translation is accurate, referring to the serial number and filing date of the patent application identified above.

Please charge Deposit Account No. 18-0013 in the amount of \$260.00 in payment of the surcharge for other than a small entity. If any fee is required or any overpayment made, the Commissioner is hereby authorized to charge the fee or credit the overpayment to Deposit Account # 18-0013.

Dated: October 1, 2004

Respectfully submitted,

By \_\_\_\_\_

Brian K. Dutton

Registration No.: 47,255

RADER, FISHMAN & GRAUER PLLC  
1233 20th Street, N.W., Suite 501

Washington, DC 20036

(202) 955-3750



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 260.00)
**Complete if Known**

|                      |                         |
|----------------------|-------------------------|
| Application Number   | 10/697,249 Conf. # 9039 |
| Filing Date          | October 31, 2004        |
| First Named Inventor | Masaaki Asonuma         |
| Examiner Name        | Not Yet Assigned        |
| Art Unit             | N/A                     |
| Attorney Docket No.  | SHO-0023                |

**METHOD OF PAYMENT (check all that apply)**
 Check  Credit Card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number **18-0013**  
 Deposit Account Name **Rader, Fishman & Grauer PLLC**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES****Large Entity** **Small Entity**

| Fee Code            | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|---------------------|----------|----------|----------|--|----------|
| 1051                | 130      | 2051     | 65       | Surcharge – late filing fee or oath  | 130.00   |
| 1052                | 50       | 2052     | 25       | Surcharge – late provisional filing fee or cover sheet                     |          |
| 1053                | 130      | 1053     | 130      | Non-English specification  | 130.00   |
| 1812                | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804                | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251                | 110      | 2251     | 55       | Extension for reply within first month                                     |          |
| 1252                | 420      | 2252     | 210      | Extension for reply within second month                                    |          |
| 1253                | 950      | 2253     | 475      | Extension for reply within third month                                     |          |
| 1254                | 1,480    | 2254     | 740      | Extension for reply within fourth month                                    |          |
| 1255                | 2,010    | 2255     | 1,005    | Extension for reply within fifth month                                     |          |
| 1401                | 330      | 2401     | 165      | Notice of Appeal   |          |
| 1402                | 330      | 2402     | 165      | Filing a brief in support of an appeal                                     |          |
| 1403                | 290      | 2403     | 145      | Request for oral hearing   |          |
| 1451                | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                | 110      | 2452     | 55       | Petition to revive – unavoidable   |          |
| 1453                | 1,330    | 2453     | 665      | Petition to revive - unintentional   |          |
| 1501                | 1,330    | 2501     | 665      | Utility issue fee (or reissue)   |          |
| 1502                | 480      | 2502     | 240      | Design issue fee   |          |
| 1503                | 640      | 2503     | 320      | Plant issue fee  |          |
| 1460                | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807                | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021                | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                | 770      | 2809     | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810                | 770      | 2810     | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801                | 770      | 2801     | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802                | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) |          |          |          |  |          |

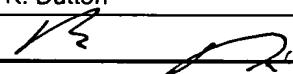
\*Reduced by Basic Filing Fee Paid

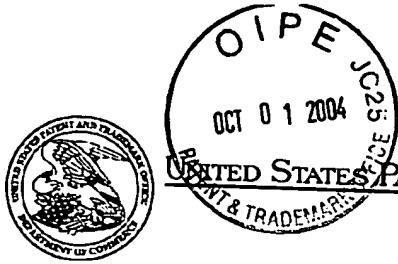
**SUBTOTAL (3) (\$ 260.00)**

\*\*or number previously paid, if greater; For Reissues, see above

**SUBMITTED BY**

(Complete if applicable)

|                   |   |                                   |        |           |                 |
|-------------------|---|-----------------------------------|--------|-----------|-----------------|
| Name (Print/Type) | Brian K. Dutton   | Registration No. (Attorney/Agent) | 47,255 | Telephone | (202) 955-3750  |
| Signature         |  |                                   |        | Date      | October 1, 2004 |



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/697,249         | 10/31/2003             | Masaaki Asonuma       | SHO-0023               |

23353  
RADER FISHMAN & GRAUER PLLC  
LION BUILDING  
1233 20TH STREET N.W., SUITE 501  
WASHINGTON, DC 20036

CONFIRMATION NO. 9039  
FORMALITIES LETTER



\*OC000000013506951\*

Date Mailed: 08/11/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2004 SSITHIB1 00000104 180013 10697249

01 FC:1051 130.00 DA  
02 FC:1053 130.00 DA

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 7, 8, 9 and 10.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$260** for a Large Entity

- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

31N41  
PART 2 - COPY TO BE RETURNED WITH RESPONSE